

3 YEAR INTERNAL AUDIT PLAN UPDATE

Current 3 Year Audit Plan

Risk Focus	FY 2019 – 2020 Audit Plan Carryover*	Risk Ranking	Estimated Timing	Revisions
Compliance	HIPAA Privacy Gap Assessment	H	FY 2021 - Complete	
Compliance	HIPAA Security Gap Assessment / Security Risk Analysis	H	FY 2021 - Complete	
Financial	Budgeting and Long-Term Planning Audit	H	FY 2021 - Deferred	Add back in FY2022 based on risk assessment
IT Related	E1 Security Review	M	FY 2023	
Risk Focus	FY 2021-2023 New Audits Proposed*	Risk Ranking	Estimated Timing	Revisions
Financial	Financial Reporting/Single Audit Readiness Assessment	H	FY 2022	Schedule for spring
Operational	Business Continuity Management Audit	M	FY 2022	Complete after and consistent with findings of the BIA.
IT Related	IT Project Planning Review	M	FY 2023	
Operational	Talent Management Lifecycle Review	M	FY 2023	
Operational	Change Management Audit	M	Optional	
Operational	Constituent Communications and Media Assessment	M	Optional	
Compliance	CARES Act Audit	M	Optional	
Various	Mid-Cycle Risk Assessment Update	N/A	In Progress	
Various	Full Cycle Risk Assessment	N/A	FY 2023	
Various	Prior Year Audits Follow-Up / Ad Hoc Audit Request	N/A	Ongoing	
IT Related	PCI Compliance Review	H	FY 2022	Remove audit based on Risk Assessment
IT Related	BIA Review	H	FY 2022 (SEPT)	Add audit based on Risk Assessment

FY2022 INTERNAL AUDIT PLAN

Risk Focus	FY2022 Proposed Internal Audit Plan	Risk Ranking	Estimated Timing	Budgeted Hours
Various	Risk Assessment Update	N/A	July/August	50
Operational/IT	BIA Review	H	September (Phase 1)	250
Financial	Budgeting and Long-Term Planning Audit	H	Spring, 2022	200
Financial	Financial Reporting/Single Audit Readiness Assessment	H	Summer, 2022	200
Operational	Business Continuity Management Audit	M	Following completion of BIA	250
Various	Prior Year Audits Follow-Up / Ad Hoc Audit Request	N/A	Ongoing	100
Whistleblower	Administration and Reporting of Whistleblower Hotline Activity	N/A	Ongoing	50
Various	On-going Administration and Reporting to AC and BOS	N/A	Ongoing	50

Total Budget: 1,150